

# A new heuristic algorithm for the preemptive and non-preemptive multi-mode RCPSPs

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## Abstract:

In this paper, a novel modeling and solving method have been developed to address the so-called resource constrained project scheduling problem (RCPSP) where project tasks have multiple modes and also the preemption of activities are allowed. To solve this NP-hard problem, a new general optimization via simulation (OvS) approach has been developed which is the main contribution of the current research. In this approach, the mathematical model of the main problem is relaxed and solved then the optimum solutions were used in the corresponding simulation model to produce several random feasible solutions for the main problem. Finally, the most promising solutions were selected as the initial population of a genetic Algorithm (GA). To test the efficiency of the problem, several test problems were solved by the proposed approach and according to the results, the proposed concept has a good performance to solve such a complex combinatorial problem. Also, the concept could be easily applied to other similar combinatorics.

**Keyword:** Optimization via Simulation, Multi-mode Resource Constraint Project Scheduling Problem, Genetic Algorithm.

## 1. Introduction

A project is an individual process with a set of activities to produce a product or presenting some special services. Project managers have some limitations such as resources, cost and time to achieve their goals; so the scheduling process is very important to make a balance among the constraints for them. Despite the existence of several uncertain events in real world applications, the majority of previous researchers have focused on resource constrained scheduling problems (RSPSPs) with

deterministic parameters. Although, we have resource constraints in a scheduling problem, but allocating the resources to the activities is one of the most significant duties of a project manager (Rasekh and Brumbelow, 2015). In the traditional RCPCP, each activity has a single execution. Multi-mode Resource-constrained project scheduling problem (MRCPSp) is a more general version than the RCPSP in which each activity can be implemented in several modes (Ghamginzadeh et al., 2014). Each mode needs its own duration and resource consumption in order to be implemented. In the MRCPSp, the objective is to decide when an activity begins and how it is performed so that the goal of the project is optimized. On the other side, the project may have some renewable and nonrenewable resources. In real world cases, where lots of non-deterministic events happen, the simulation technique is one the most promising tools to model the problem and check the results after making the necessary changes. However, the simulation technique could be integrated with meta-heuristic algorithms in the optimization process of combinatorics. This property helps us to find a set of parameters optimizing the efficiency of system. This approach is called optimization via simulating (OvS) technique (Hong and Nelson, 2009). Rasekh and Brumbelow (2015) studied a dynamic simulation-based optimization model for adaptive management of urban water distribution system contamination threat. In this research, the OvS technique is applied in the scheduling problem using the Genetic algorithm (GA) and the simulation technique. Simulation allows us to carry out a lot of offline analysis on system performance. The basic goal of a project scheduling problem is minimizing the makespan (project completion time) considering precedence constraints among activities and also available resources. At the beginning of 1960s, scheduling problems had been discussed for allocating resources to the activities to create a balance between the total cost and the makespan. RCPSP includes activities that should be planned considering precedence constraints and resources to reduce the completion time of the project. Brucker et al. (1999) introduced the RCPSP including non-preemption activities. But Bianco et al. (1999), Brucker and Knust (2001), Debels and Vanhoucke (2008), Demeulemeester and Herroelen (1996), Nudtasomboon and Randhawa (1997) considered preemption in their models. It means that we could start and stop the execution of an activity after each unit time. The preemption in activities could be shown by  $\beta$  in  $\alpha | \beta | \gamma$ . Another aspect of a RCPSP is the resource constraints. In a simple RCPSP, we have only a renewable resource which will be available in each period of time completely. In a multi-mode RCPSP, we have two different kinds of renewable, non-renewable resources most of the time. This version has been developed by Slowinski (1981) and Weglarz (1981). A Delay in an activity  $j(L_j)$  is a difference between its finishing time and its due time ( $d_j$ ). Kolisch (2000), Viana and De Sousa (2000) considered weighted-tardiness objective functions. Nudtasomboon and Randhawa (1997) represented minimum-maximum tardiness and also minimum weighted-tardiness. Viana and De Sousa (2000) considered weighted-tardiness objective functions. Nudtasomboon and Randhawa (1997) represented minimum-maximum tardiness and also minimum weighted-tardiness. Slowinski (1981) was the first author who studied the multi-objective RCPSP. He presented a linear programming model for the multi objective, multi-mode RCPSP with the consideration of resource constraints. He discussed the usability of goal programming and fuzzy linear programming to solve this problem. Objective functions which have been used in this research included: project completion time, the net present value, the total resource consumption, the total number of the delayed activities, and the weight of consumed resources. Al-fawzan and Haourai (2005) considered MRCPSp with limited resources and proposed a two-objective Tabu search algorithm to minimize the makespan and maximize the robustness. Viana and de Sousa (2000) proposed multi objective annealing simulation and Tabu search algorithms to minimize the

Makespan, minimize the weight lateness of activities, and minimize the violation of resource constraints. Abbasi et al. (2006) studied RCPSP with renewable resource constraints with two objective functions including Makespan and robustness. They proposed a simulation annealing algorithm along with the weighted summation method to deal with the two-objective problem. Abdelaziz et al. (2007) considered MRCPSP with renewable limitations and suggested a multi-objective ant colony algorithm to find non-dominant solutions. The objectives considered in this article included: Makespan, project costs and the probability of the project success. Ballestin and Blanco (2011) , presented an algorithm based on the concept of non-dominant solutions. They also proposed special rules to help solving the problem. Nabipoor-Afruzi et al. (2013) considered a multi-mode resource-constrained discrete time–cost tradeoff problem and solve it with an adjusted fuzzy dominance genetic algorithm. Aboutalebi et al. (2012) proposed NSGA-II and MOPSO algorithms to solve this problem and according to some defined indices, they showed that NSGA-II is more efficient than MOPSO. Kazemi and Tavakoli-Moghadam (2011) studied the multi-objective RCPSP considering the maximization of the net present value and minimizing the project makespan in terms of the renewable resource constraints. Although in the real world applications, usually there are non-renewable resource constraints to execute activities. For example, in construction projects, non-renewable resources are very important in the project scheduling such as cement, plaster, ironware and etc. Hence, adding this constraint results in a more realistic model. On the other hand, because this problem is a multi-mode problem and in each mode, a certain level of non-renewable resources is needed to perform each activity, defining non-renewable resources in the problem model seems to be essential. Thus, to get closer to the reality, we consider a multi-objective RCPSP problem (MRCPSP) considering non-renewable and renewable resource constraints to minimize the project makespan. Also preemption of activities is allowed in the model, so the problem is named as P-MRCPSP. About the P-MRCPSP, there are a few studies in the literature. Najafi and Majlesi (2014) investigated the MRCPSP with just renewable resources and the preemption of activities was allowed. They developed a GA algorithm to solve the problem. Recently, Yongyi et al. (2015) developed a hybrid particle swarm optimization procedure to solve the preemptive resource-constrained project scheduling problem in which a maximum of one interruption per activity is allowed. In this research, a new multi-objective meta-heuristic algorithm is developed for the P-MRCPSP including nonrenewable and renewable resources based on an OvS approach to find the near optimum solutions which is the main contribution of the paper. The paper is organized as follows:

In Section 2, the mathematical model of the problem is presented. In the next section, the framework of the OvS algorithm including the parameter definitions are proposed. In Section 4, the experiment results and discussions are provided. Finally, conclusions and recommendations for the future researches have been mentioned in Section 5.

## 2. Problem modeling

The project is represented as an activity-on-the-node network  $G=(N,A)$ , where  $N$  is the set of activities and  $A$  is the set of pairs of activities **in which** a finish-start precedence relationship **exists in each pair**. A set of activities, numbered from 1 to  $|N|$  with a dummy start node 0 and a dummy end node  $|N|+ 1$ . In the P-MRCPSP, activities are allowed to be preempted at any time and restarted later on at no additional cost.

### 2.1 Mathematical Model

The parameters in the P-MRCPSPP can be conceptually formulated as follows:

$R^p$  : Set of renewable resource.

$R^v$  : Set of nonrenewable resource.

$m_i$ : Each activity  $i \in N$  is performed in a mode  $m_i$ , which is chosen out of a set of  $|M_i|$  different execution modes  $M_i = \{1, \dots, |M_i|\}$ .

$d_{im_i}$ : The duration of activity  $i$ , when executed in mode  $m_i$ .

$r_{im_i k}^p$ : Each mode  $m_i$  requires  $r_{im_i k}^p$  from  $k$ 'th renewable resource units ( $k \in R^p$ ).

$r_{im_i k}^v$ : Each mode  $m_i$  requires  $r_{im_i k}^v$  from  $k$ 'th nonrenewable resource units ( $k \in R^v$ ).

$a_k^p$ : Constant availability of each renewable resource ( $k \in R^p$ ) throughout the project horizon.

$a_l^v$ : Total available of nonrenewable resource ( $l \in R^v$ ).

$S_{i,v}$ : Starting time for  $v$ 'th part of each activity. ( $v \in \{1, \dots, d_{im_i} - 1\}$ ).

$X_{im_i}$ : Equals to 1, if activity  $i$  is executed in mode  $m_i$ , otherwise 0.

$S(t)$ : Denotes the set of activities in progress in period  $[t-1, t]$ ;  $t \in \{1, \dots, S_{n+1,0}\}$

$M$ : a sufficiently big enough positive number.

And according to Ghamgizadeh et al. (2014), the P-MRCPSPP can be formulated as follows:

Problem 1

$$\text{Min. } S_{n+1,0} \quad (1)$$

s. t .

$$(S_{i,d_{im_i}-1} + 1)(1 - MX_{im_i}) \leq S_{j,0} \quad \forall (i,j) \in A \quad (2)$$

$$(S_{i,v-1} + 1)(1 - MX_{im_i}) \leq S_{i,v} \quad \forall i \in N, \forall v \in \{1, \dots, d_{im_i} - 1\} \quad (3)$$

$$\sum_{i \in S(t)} r_{im_i k}^p \left( \sum_{\forall m_i \in M_i} X_{im_i} \right) \leq a_k^p \quad \forall k \in R^p \quad \forall m_i \in M_i \quad (4)$$

$$\sum_{i=1}^{|N|} r_{im_i k}^v \left( \sum_{\forall m_i \in M_i} X_{im_i} \right) \leq a_l^v \quad \forall l \in R^v \quad \forall m_i \in M_i \quad (5)$$

$$S_{0,0} = 0 \quad (6)$$

$$\sum_{\forall m_i \in M_i} X_{im_i} = 1, \quad \forall i \in N \quad (7)$$

$$S_{i,v} \in \text{int}^+, X_{im_i} \in \{0,1\}, \forall i \in N, \forall v \in \{0, \dots, d_{im_i} - 1\} \quad (8)$$

The objective function (1) minimizes the total makespan of the project. In constraint set (2), the earliest start time of an activity  $j$  cannot be smaller than the finish time for the last unit of duration of its predecessor  $i$ . Constraint set (3) guarantees that the starting time for every time instance of an activity has to be at least one time-unit larger than the start time for the previous unit of duration. Constraints (4) and (5) take care of the renewable and nonrenewable resource limitations, respectively. Constraint (6) forces the project to start at time instance zero. Constraint set (7) ensures that each activity is just executed in one its available modes. Constraint (8) ensures that the activity start times assume nonnegative integer.

## 2.2 Proposed Algorithm

Problem 1 is a NP-Hard problem (Ghamginzadeh et al., 2014). To handle its complexity, a relaxation technique is used in this research. If the integer constraint set (8) is relaxed (using equations (9) and (10)), the resulting problem (Problem 2) is very similar to the original problem in terms of the objective functions and nearly all constraints. Problem 2 is a linear model with continuous variables which could be solve easily. The optimum solution of Problem 2 is a lower bound for Problem, because the integer constraints have been removed from Problem 1. The only issue about Problem 2 is its non-integer solutions.

$$0 \leq X_{im_i} \leq 1 \quad (9)$$

$$S_{i,v} \geq 0 \quad (10)$$

In Problem 2, according to constraint set (7), the summation of  $X_{im_i}$  equals to 1 and these decision variables are also positive; therefore they could be interpreted as a probability distribution function for each activity to be executed in each mode. The non-integer value of the  $X_{im_i}$  is used as the probability of executing of activity  $i$  in mode  $m_i$ . These continuous values will be used in the simulation software as the distribution functions of executing each activity in its available modes. In this way, the simulation will be more intelligent in producing random feasible solutions for Problem 1. This is a very smart innovation to strength the quality and the speed of the proposed approach in the simulation model, to make the randomly generated solutions near to unknown optimums.

Consider an example project with 8 non-dummy activities, each with 2 modes. For each mode, 1 renewable resource and 1 nonrenewable resource are indicated in this paper to show our procedure. The availability for the renewable (nonrenewable) resource is 7 units. The activity-on-the-node network is shown in Fig. 1. In Table 1, the duration  $d_{im_i}$  and resource requirements ( $r_{im_i,k}^p$  and  $r_{im_i,k}^v$ ) for mode  $m_i$  of activity  $i$  are shown. Figure 2, depicts the flow chart of the proposed approach to solve the P-MRCPSPP. At first, the problem is modeled similar to Problem 1. Then its integer constraints are relaxed (according to eq. (10)) to formulate Problem 2. Problem 2 which is similar to Problem 1 (except its continuous variables instead of integer ones in Problem 1) is inserted in the GAMS software and solved. For the provided example, the optimum solutions are provided in Table 2. Then Problem 1 is modeled in the simulation software including all constraints, in a way that at each replication a feasible solution for Problem 1 is produced (Figure 3). Also, the optimum value of  $X_{im_i}$  is inserted in the simulation software for each activity. At each replication, the simulation software uses a mode for each activity according to its probability. For example, according to Table 2, in 53% of replications, the simulation software uses mode 1 and in 47% of cases uses mode 2 for activity 1. Now, the simulation model is replicated several times to produce a lot of feasible solutions for Problem 1. Since the execution times of activities are not so long, the simulation replication is too short. For example, producing of 500 feasible solution of the example just takes 1.1 seconds on the basis PC. Some promising randomly feasible solution are taken from the simulation experiments and inserted in a GA algorithm to continue the optimization process. In a GA, starting from a promising initial population ensures the early termination of the algorithm and also helps it to achieve high quality solutions.

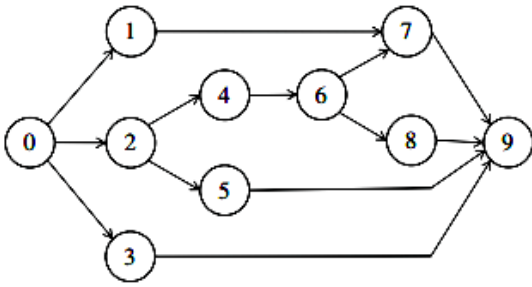


Figure 1. Project Network

Table 1. Project information

Act $i$	Mode $m_i$	$d_{im_i}$	$r_{ij}^p$	$r_{ij}^r$
0	1	0	0	0
1	1	4	3	3
1	2	5	2	4
2	1	1	3	4
2	2	2	2	3
3	1	1	2	3
3	2	2	1	1
4	1	2	5	4
4	2	3	4	3
5	1	2	4	6
5	2	5	3	2
6	1	1	1	4
6	2	3	1	3
7	1	1	3	3
7	2	3	2	2
8	1	2	3	4
8	2	2	3	3
9	1	0	0	0

Table 2. GAMS result

Activity	$m_1$	$m_2$
1	0.53	0.47
2	0.95	0.05
3	0.51	0.49
4	0.51	0.49
5	0.84	0.16
6	0.49	0.51
7	0.78	0.22
8	0.11	0.89

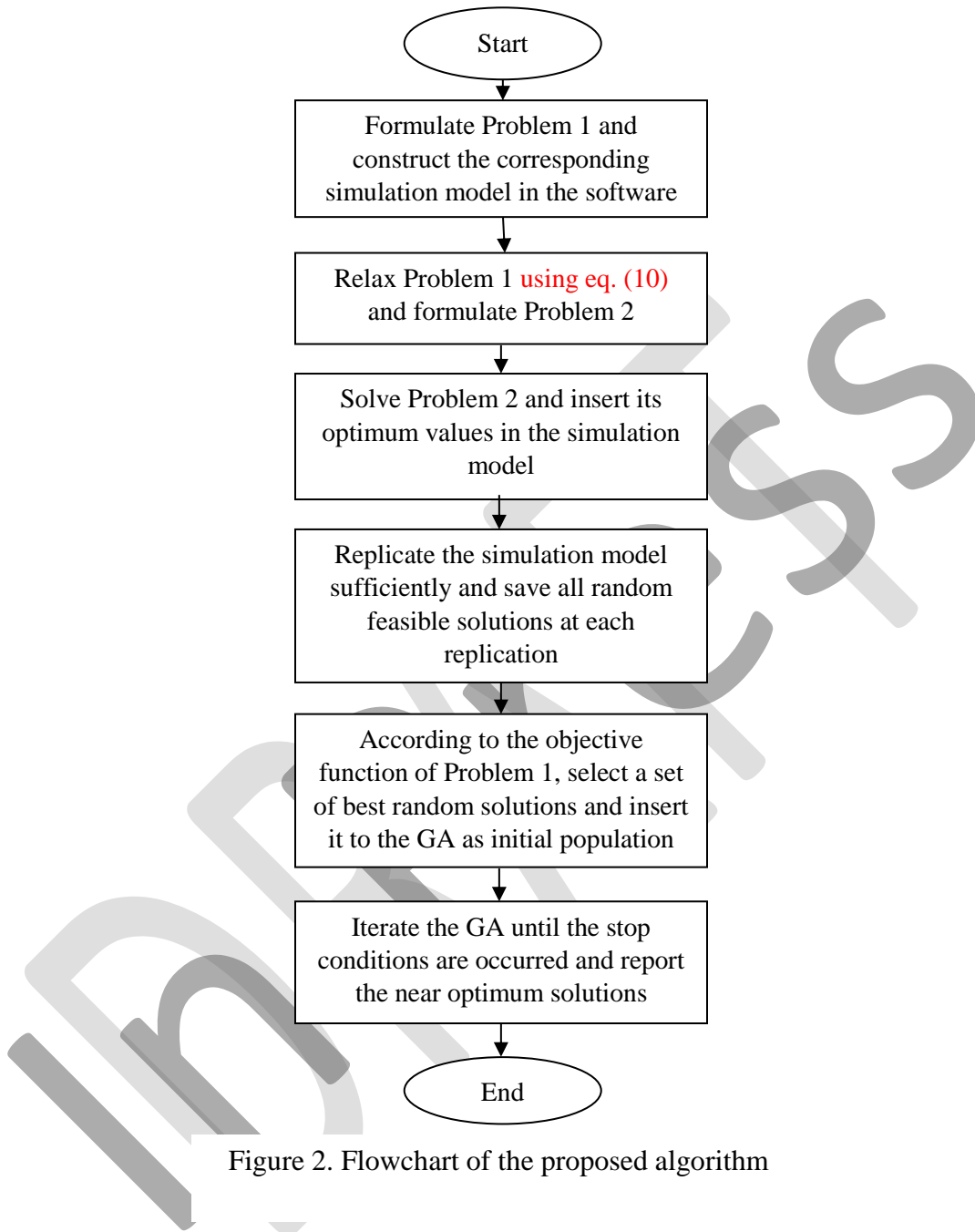


Figure 2. Flowchart of the proposed algorithm

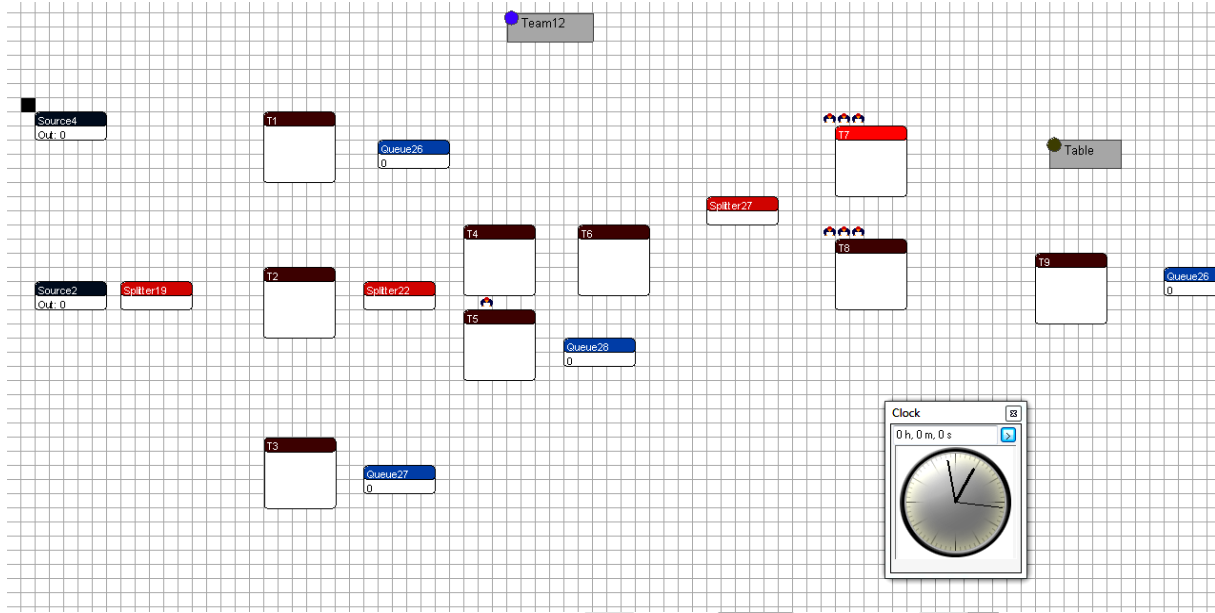


Figure 3. The simulation model of the provided example

To simulate the models, ED 8.0 was used as the simulation software and all constraints in Problem 1 were coded in this software using its coding language named “4DScript”. So, at each iteration of a simulation model, a random feasible solution for Problem 1 is generated and its information is saved in a table (Figure 3). The validation of the simulation model is easy; because its task is just to produce feasible solutions and one may easily check all solutions is Problem 1 constraints to see its validity.

### 2.3 Genetic algorithm

Genetic Algorithm (GA) is a nature-inspired optimization method. It is an iteration-based algorithm, and its basic principles are derived from genetics science. It was invented by mimicking some of the processes observed in the natural development. GA was invented by John Holland in 1967 (Nabipoor-Afrooz et al., 2013) as a well-known stochastic optimization method. In fact, genetic algorithms utilize the Darwin's principle of natural selection to find the optimal formula for prediction or pattern matching. The main application of GA is in computer. However, the GA methods are also applicable to industrial engineering, production planning, production management, IT management, and industrial management. In the proposed genetic algorithm, the chromosome consisted of several parts including the earliest activity start time ( $e_s$ ), the earliest activity finish time ( $e_f$ ), the latest activity start time ( $l_s$ ), the latest activity finish time ( $l_f$ ) matrices, the matrix representing the duration for each activity ( $d$ ), the matrix representing the executive mode for each activity ( $m$ ), and the matrices representing the renewable and non-renewable resources. These all have a dimension of  $(1 \times N)$ . The other part of the chromosome is the matrix, where the start and finish times of the activities are recorded. It has a dimension of  $(N-1) \times 2$ .

GA starts with the forward and backward procedures. The initial population of the GA is obtained by combining the results obtained from the forward and backward procedures and the best results obtained from the simulation replications. The GA imposes the resource constraints using penalty make span (violated  $c_{max}$ ). Total  $V$  will define in this part which reached by summation  $V_1, V_2, V_3, V_4$ .  $V_1, V_2$  are for the first and the second kind of renewable resources and  $V_3, V_4$  are for first and kind of irremovable



resources. Actually, resource-constraints will cover in this part. The mutation operator in the GA is a random one. Roulette wheel has used for selecting parents. In a crossover, all members of the parent's matrix will be changed into 0-1 values. After that, a one-point crossover has been used for making new pattern of 0 & 1 which became as a number in 10<sup>th</sup> basis and make  $y_1, y_2$  as matrix of children.

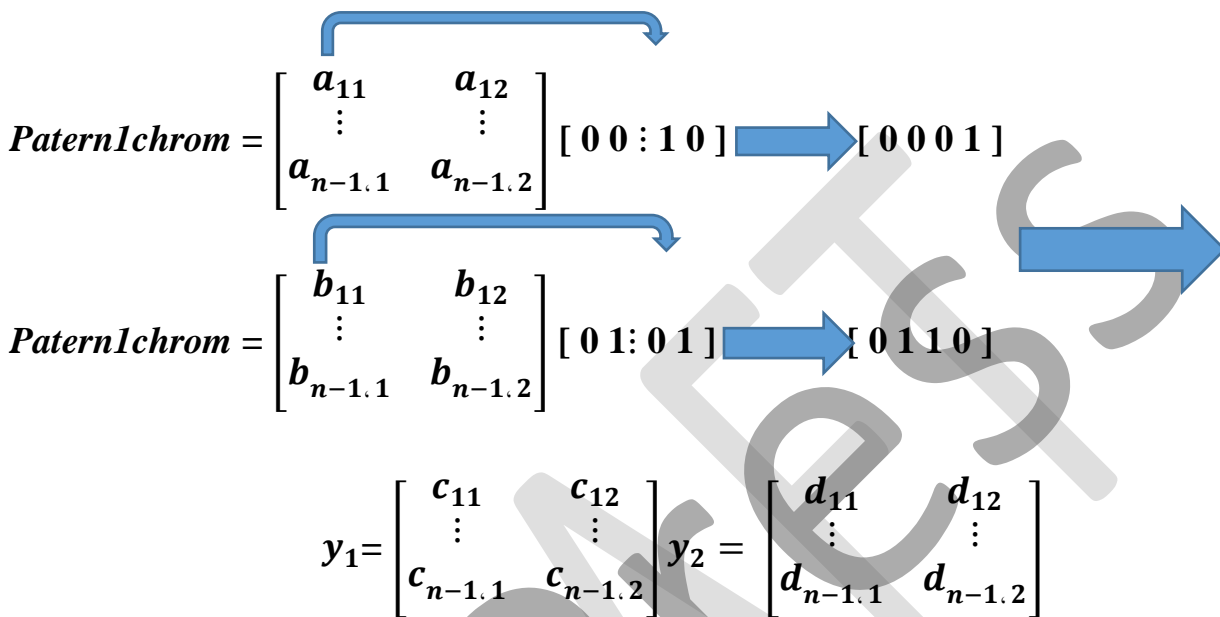


Figure 4. An example for crossover

Using forward and backward method,  $e_s$  and  $l_f$  will be calculated and compared to the offspring's values. It will be adjusted in 3 different ways:

1. The number in the first column is smaller than the corresponding  $e_s$ .
2. The number in the second child column is greater than the corresponding  $l_f$ .
3. The distance between the two elements of the columns is not less than the duration of the activity.

#### 2.4. Tuning the parameters

In this section, first of all, the parameters of GA have been adjusted in order to improve the solution quality and the computational speed using Taguchi's design ( $3^k$ ). Initial population, number of iterations, mutation and cross over rates are our parameters which have been chosen for parameters adjusting process. In Table 3, the levels of each parameter are shown.

Table3. Parameters values

	Level 1	Level 2	Level3
Npop	50	150	250
Max it	70	100	200

Pc	0.3	0.6	0.8
Pm	0.2	0.4	0.7

The tuning process was carried out on the previous example which was taken from the **PSPLIB** digital library. Using the **Minitab** software and considering chart 3 in Taguchi's drawing, 9 different designs have been experimented by the combination of parameters, 9 algorithms were executed for each mode and the results were recorded. The optimal values are presented in Table 4. Figures 5 and 6, show the sample chromosome structure and the related information.

Table 4. Parameters adjusting results

Parameters	Value
Npop	150
Max it	200
Pc	0.87
Pm	0.07

```
>> pop(1).Sol.Chrom
```

```
ans =
```

```

1      7
1      1
8      9
2      4
2      9
5      7
8      8
8      9
0      0
```

Fig5.A sample chromosome

```

ans =

Chrom: [9x2 double]
      d: [4 1 2 3 5 3 1 2 0]
      mod: [1 1 2 2 2 2 1 2 1]
      r1: [3 3 1 4 3 1 3 3 0]
      r2: [3 4 1 3 2 3 3 3 0]
      es: [1 1 1 2 2 5 8 8 10]
      ef: [4 1 2 4 6 7 8 9 10]
      Ls: [5 1 8 2 5 5 9 8 10]
      Lf: [8 1 9 4 9 7 9 9 10]

```

Figure6. Information of each activity in the genetic algorithm

In figure 6, the first line shows the duration of each activity, the second line shows the processing mode of each activity, third line shows the usage rate of first type sources, the fourth line shows the usage rate of second type sources, the fifth line shows the soonest beginning time, the sixth line shows the soonest termination time, the seventh line shows the latest beginning time and the eighth line shows the latest termination time of each activity.

### 3. Experiment Results

The algorithms have been coded in MATLAB 7.14.0.739 software. The program was run on a PC with Core i7, 2.67GHz as CPU, 4 GB RAM under Windows 8 platform. In this section, 30 samples were solved by the proposed genetic algorithm including 10 samples with 14 activities, 10 samples with 18 activities and 10 samples with 30 activities. All samples were taken from PSPLIB digital library. After solving the examples, CPU time and makespan were recorded and the results shown briefly in Table 5.

Table 5. Computational results

Examples	Num. of activity	Num. of modes	Renewable resource	Nonrenewable resource	Makespan	CPU time (s)
1	14	3	2	2	18	208.094
2	14	3	2	2	37	206.146
3	14	3	2	2	37	209.139
4	14	3	2	2	18	203.989
5	14	3	2	2	18	201.626
6	14	3	2	2	32	211.828
7	14	3	2	2	16	199.625
8	14	3	2	2	21	206.307
9	14	3	2	2	23	203.435
10	14	3	2	2	19	201.419
11	18	3	2	2	15	260.399
12	18	3	2	2	38	276.499

13	18	3	2	2	25	275.019
14	18	3	2	2	36	283.358
15	18	3	2	2	39	278.776
16	18	3	2	2	31	282.844
17	18	3	2	2	28	273.976
18	18	3	2	2	34	282.395
19	18	3	2	2	30	272.964
20	18	3	2	2	22	280.133
21	30	3	2	2	57	481.639
22	30	3	2	2	39	481.321
23	30	3	2	2	45	470.277
24	30	3	2	2	39	461.958
25	30	3	2	2	27	455.147
26	30	3	2	2	44	469.242
27	30	3	2	2	40	470.459
28	30	3	2	2	37	485.936
29	30	3	2	2	44	491.581
30	30	3	2	2	62	485.17

In order to test the efficiency of the proposed algorithm, the results were compared to the ones obtained from a normal genetic algorithm, without using the simulation results. For this reason, all 30 samples were solved using the normal GA and the results were listed in Table 6. The first column in Table 6 shows the number of samples in PSPLIB. The second column shows the result of using the proposed algorithm for the problem makespan. The third column shows the results using GA, alone. The last column shows the difference percentage between the two algorithms using the following formula:

$$\frac{\text{The prop.alg.makespan} - \text{The GA makespan}}{\text{The GA makespan}} * 100 \quad (11)$$

Table 6. Comparison result

No.	Makespan using Prop. Alg.	Makespan using GA	The % difference
1	17	18	-5.56
2	36	37	-2.70
3	36	37	-2.70
4	17	17	0.00
5	18	19	-5.26
6	30	31	-3.23

7	15	15	0.00
8	19	21	-9.52
9	20	20	0.00
10	18	18	0.00
11	14	12	16.67
12	31	31	0.00
13	23	25	-8.00
14	31	31	0.00
15	35	37	-5.41
16	29	30	-3.33
17	26	29	-10.34
18	34	33	3.03
19	29	29	0.00
20	20	21	-4.76
21	47	48	-2.08
22	35	37	-5.41
23	39	40	-2.50
24	33	35	-5.71
25	24	25	-4.00
26	34	35	-2.86
27	40	41	-2.44
28	32	33	-3.03
29	39	40	-2.50
30	58	60	-3.33

According to Table 6, nearly in all samples, the proposed algorithm behaved better than the normal GA, in terms of the solution quality. On average, the proposed algorithm solutions are 2.50% better than the GA solutions. About the computational speed, modeling the problem in the simulation software and also the simulation replications are low time consuming processes. On the other side, the high quality solutions obtained from the simulation replications caused the algorithm to be terminated very soon. On average the both algorithm had the same computational times. **However, the solution quality of the**

proposed method is not significant, but in real world applications where the duration times of the tasks are probabilistic, the proposed model is very efficient. The proposed method uses the simulation technique which is the best tool to model stochastic problems.

## 4. Conclusions

In this research, the scheduling of a multi-mode project is studied considering the resources restrictions, interruption feasibility, and the restarting of activities. The problem goal is to minimize the project makespan while considering both prerequisite limitations and resource limitations. The main contribution of the research is the introduction of a novel modeling approach where the simulation model uses the optimum values of the relaxed problem. This way of simulation is a very smart to produce several random feasible solutions in a very short time. Then the best promising results of the simulation replications are used in a GA algorithm to make the algorithm stopped faster than a generic GA. The way in which the simulation technique and GA is integrated is a novel approach in OvS. The approach has been tested on several RCPSPs where preemptions are allowed. The most of previous studies have just considered non-preemptive RCPSPs. As the results show, the solution quality of the proposed algorithm is better while the computational speeds are the same. For future researches, we recommend assuming of stochastic duration times for activities instead of deterministic values to make the problem closer to the real world applications.

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